

# Integrating Nominal and Structural Subtyping

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# Structural vs. nominal subtyping

## Nominal Subtyping

- A type  $T$  is a subtype of  $U$  only if  $T$  has been *declared* as a subtype of  $U$
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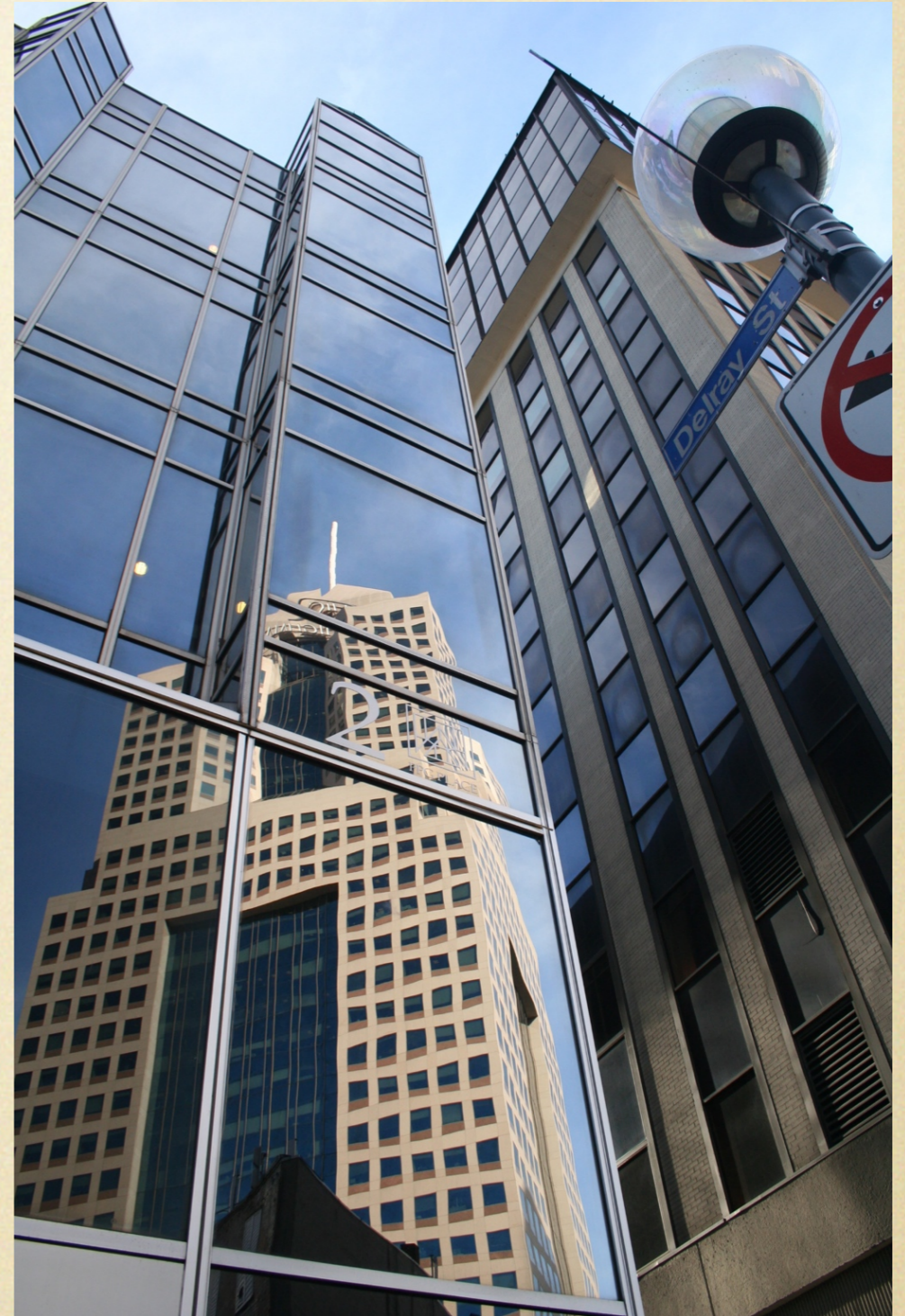
## Structural subtyping

- a type  $T$  is a subtype of  $U$  if  $T$  has *at least  $U$ 's methods and fields*—possibly more, possibly with more refined types
  - So, any class with an `iterator()` method would automatically be a subtype of `Iterable`

# Our language: Unity

- A type has:
  - a nominal component (a brand)
  - a structural component (its fields and methods)
- Subtyping takes both components into account
- Allows structural subtyping to co-exist with external dispatch
  - Combination is novel

Why  
structural  
subtyping?



# A motivating example (Java)

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interface Drawable {  
    void draw();  
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    void setAlpha(int alpha);  
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void centerAndDraw(____ item) {  
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    item.draw();  
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# Our solution: Unity

```
type Drawable =  
  Object (  
    draw() : unit,  
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type Bitmap =  
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- Structural subtyping:  $\text{Drawable} \leq \text{Bitmap}$

Circle  $\leq$  Bitmap

Circle  $\leq$  Drawable

Icon  $\leq$  Bitmap

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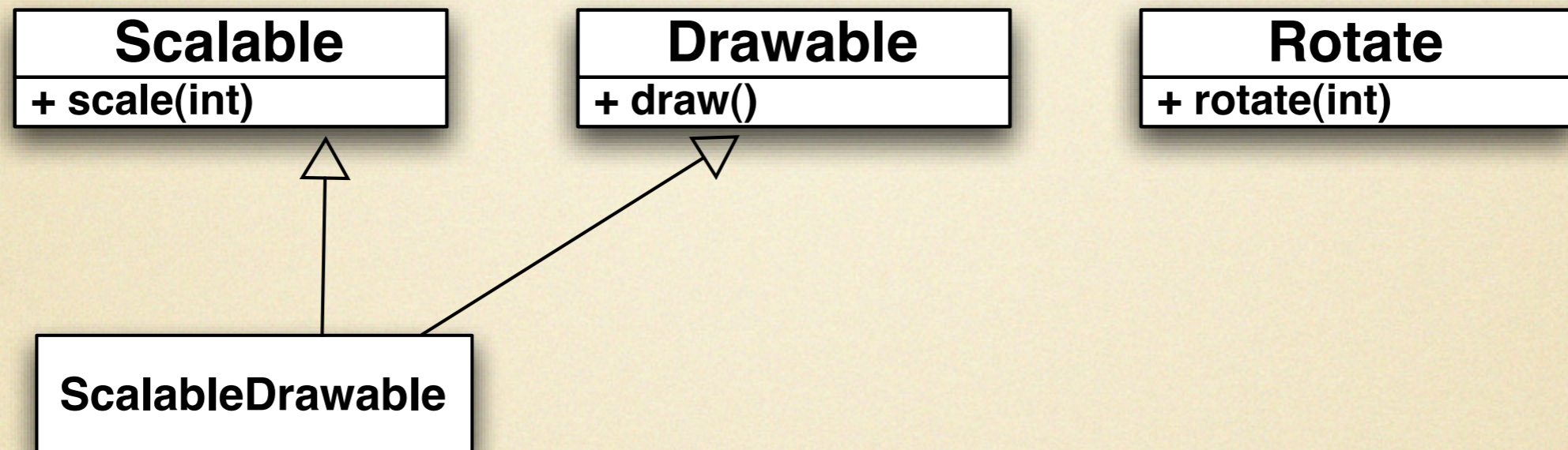
# Example 2: composing interfaces

<b>Scalable</b>
+ scale(int)

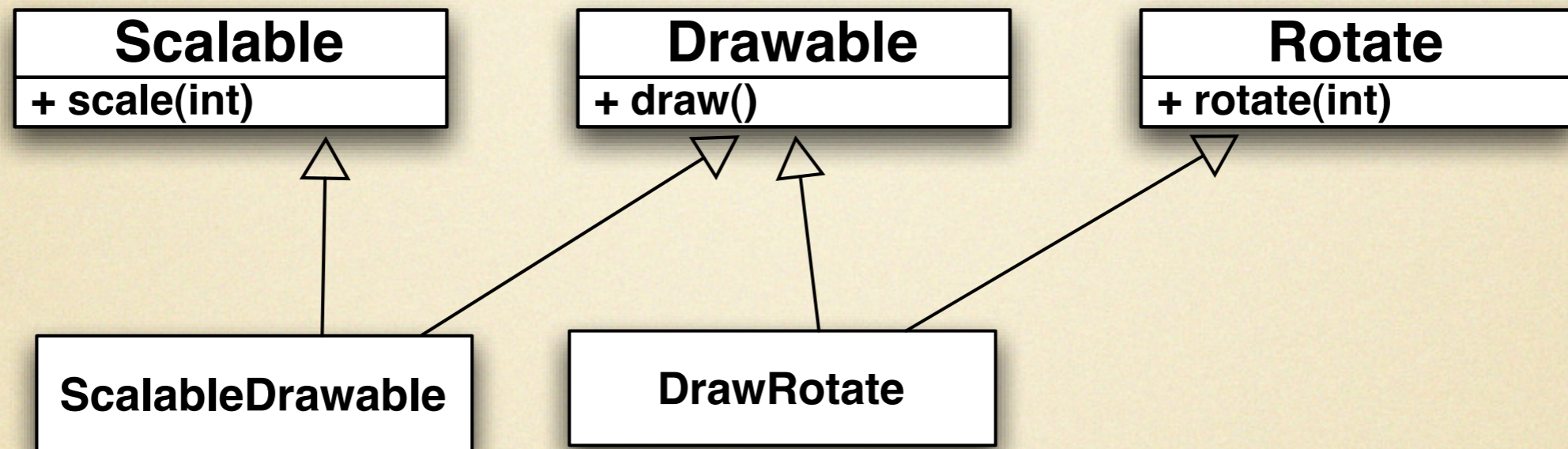
<b>Drawable</b>
+ draw()

<b>Rotate</b>
+ rotate(int)

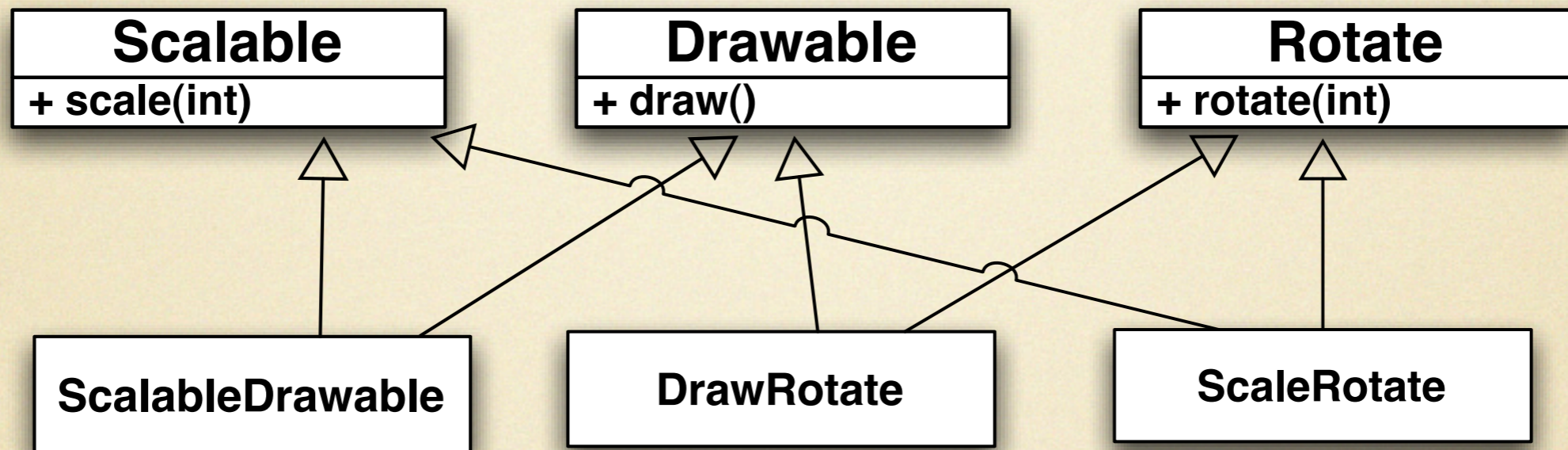
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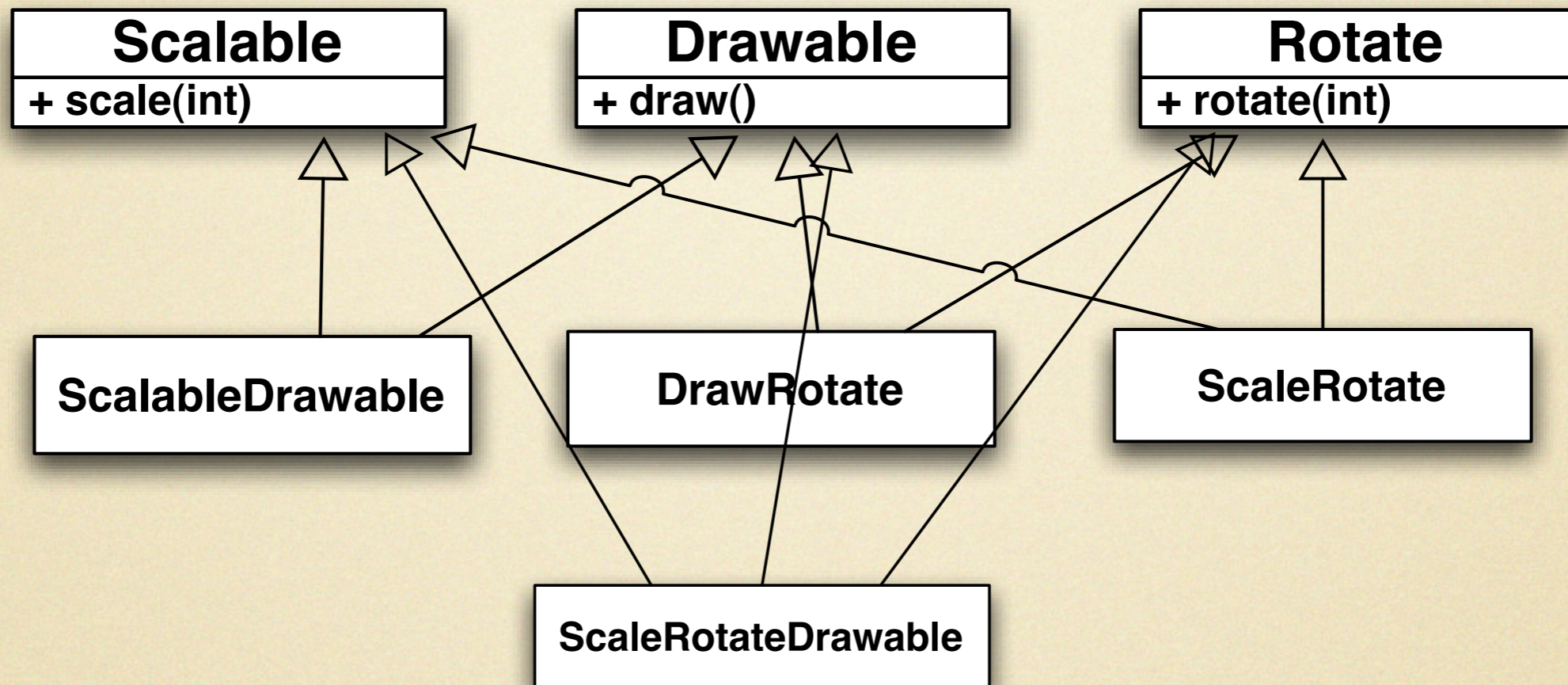


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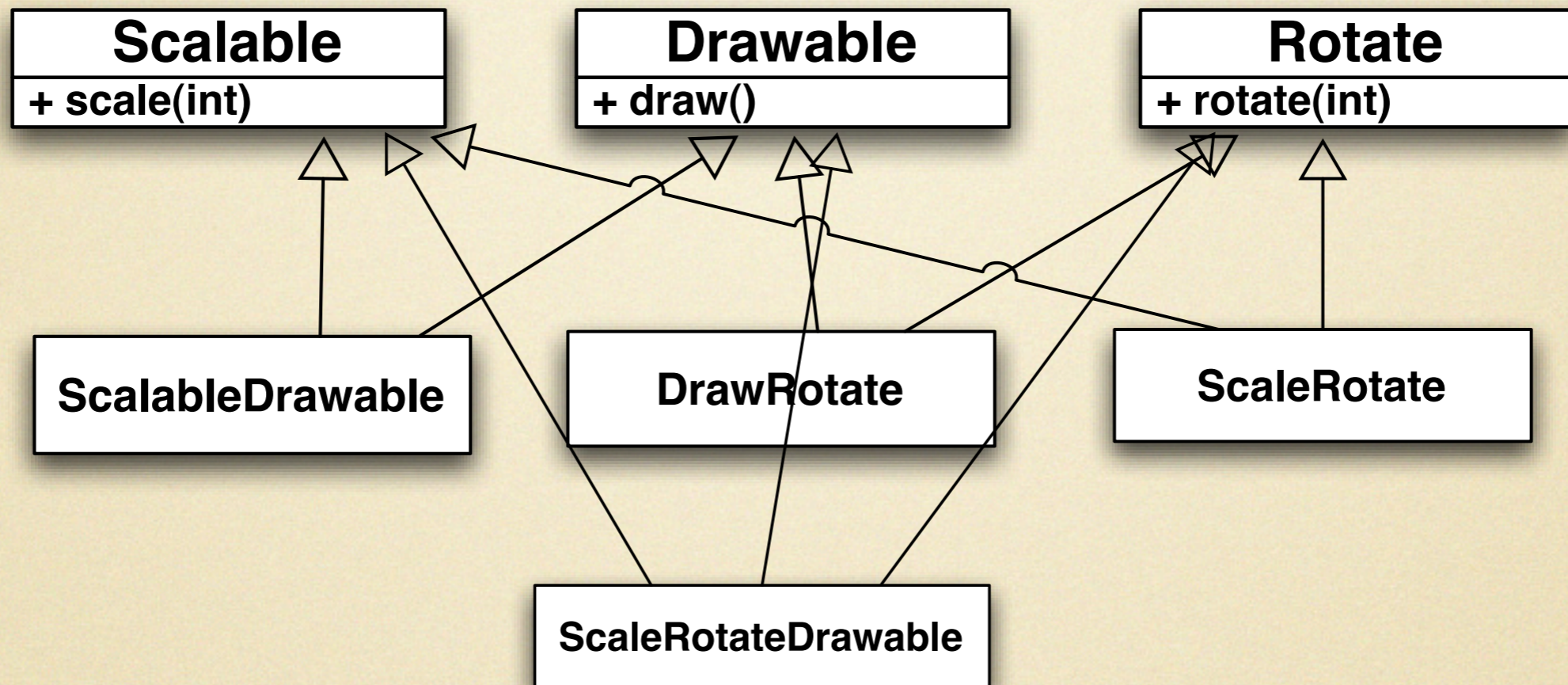




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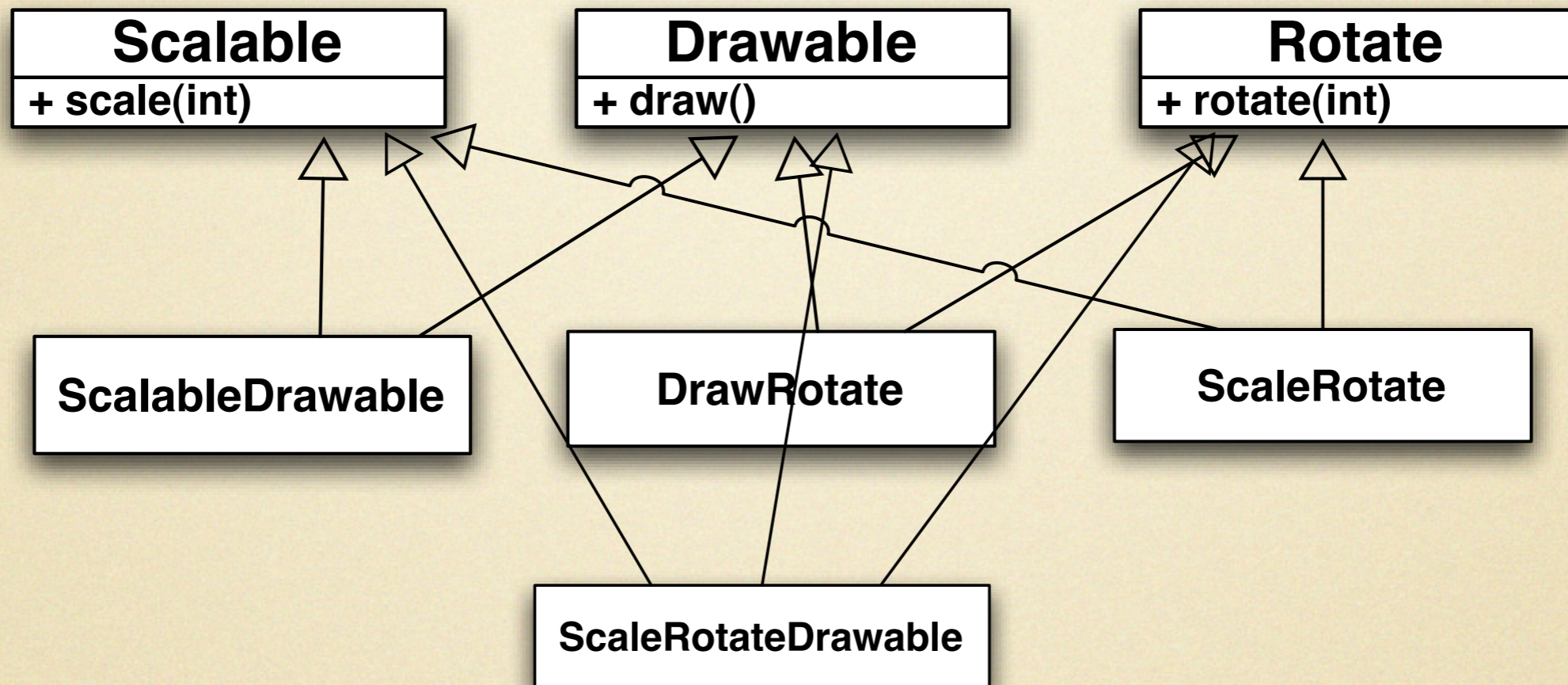


# Example 2: composing interfaces



```
class Glyph implements Scalable, Rotate {
    ...
}
```

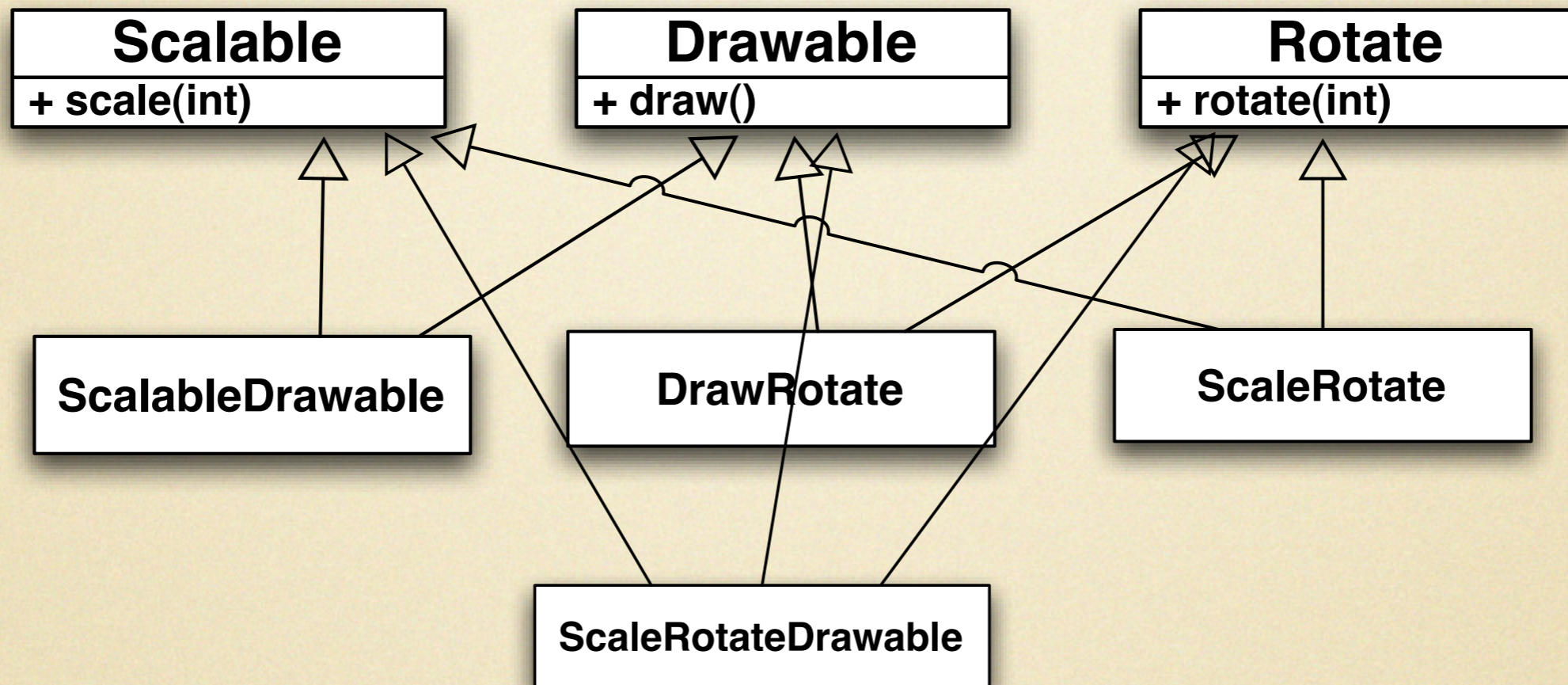
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```
class Glyph implements Scalable, Rotate {  
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void doSomething(ScaleRotate shape) { ... }
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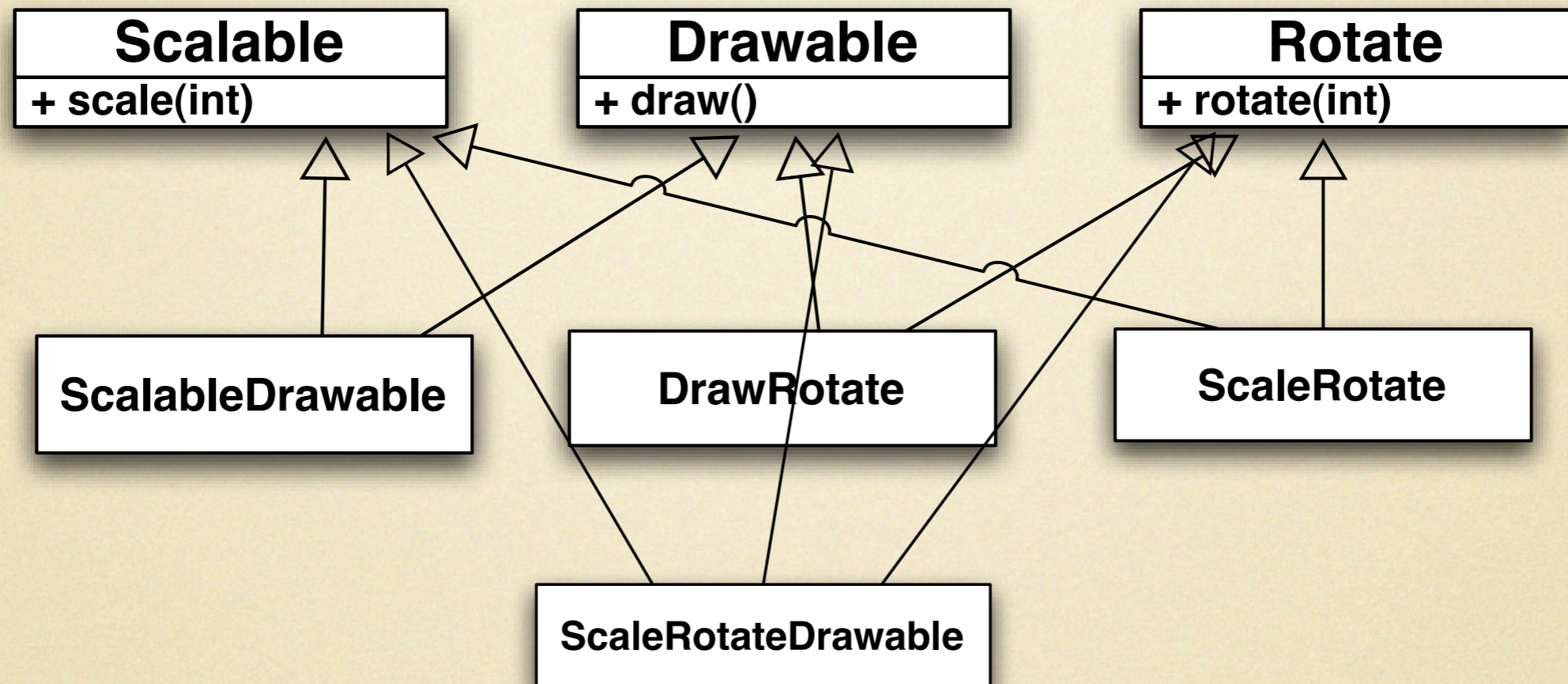
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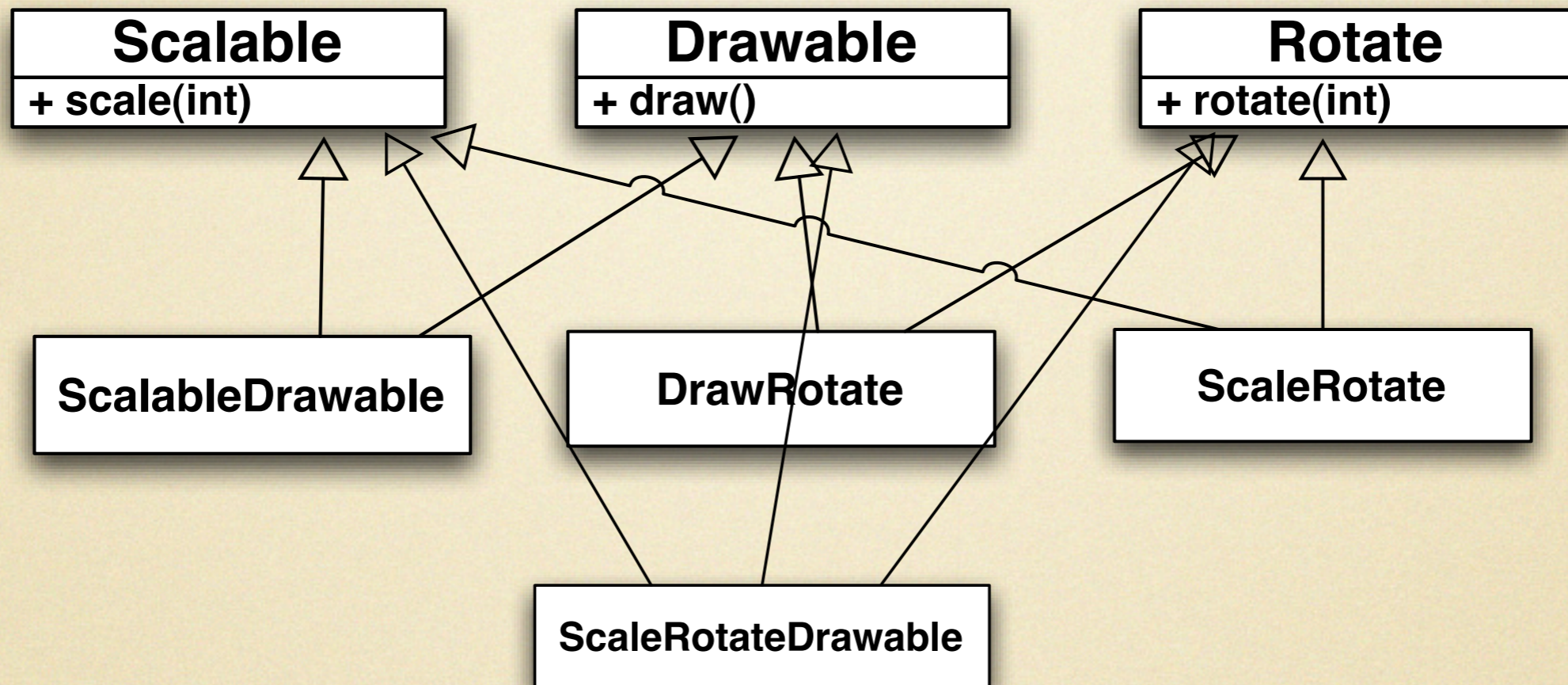


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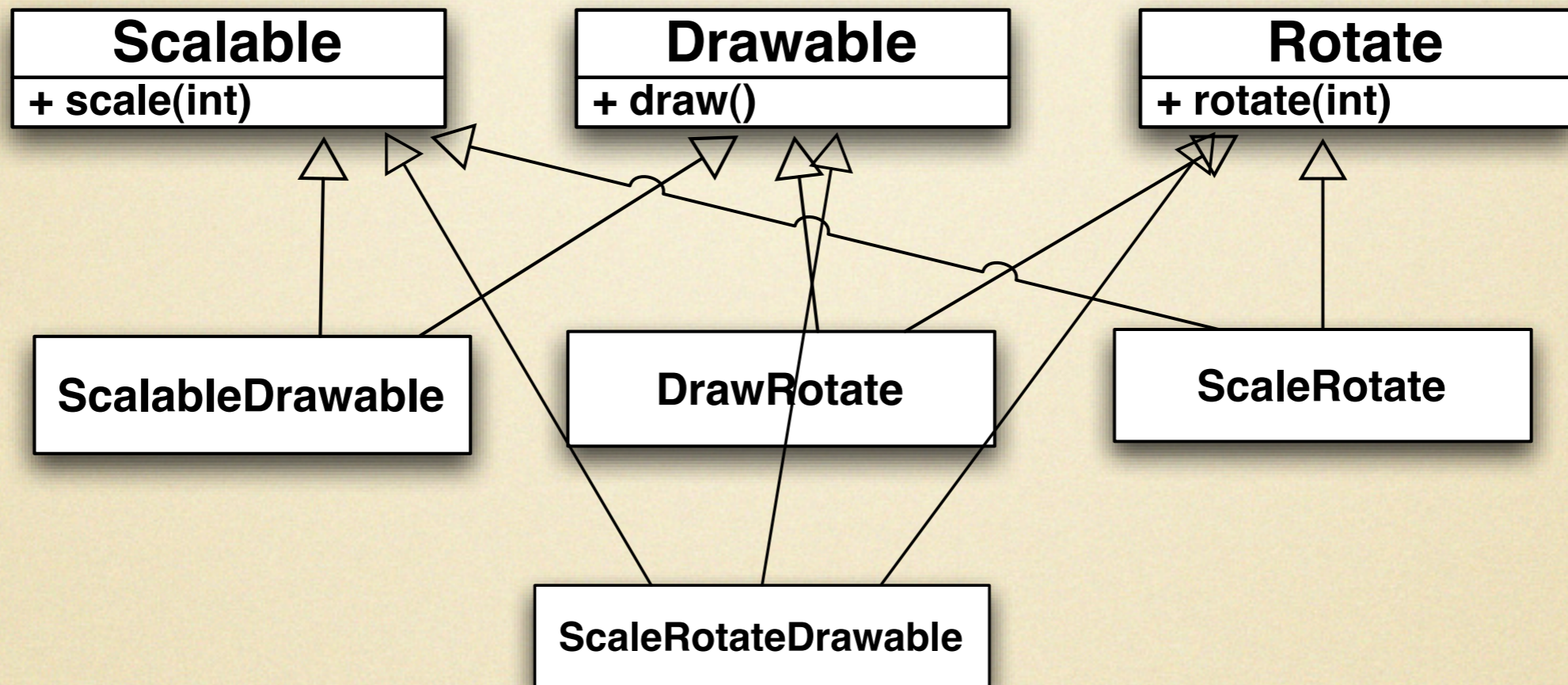


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Method call fails!

# How to solve this problem?

- Problem: nominal subtyping doesn't compose
  - types `Scalable` and `Movable` do not compose to `ScalableMovable`
- But types DO compose in structural subtyping!
  - `{scale()}` and `{move()}` compose naturally to `{scale(), move()}`
- No need to manually define all combinations of types!



# Benefits of structural subtyping

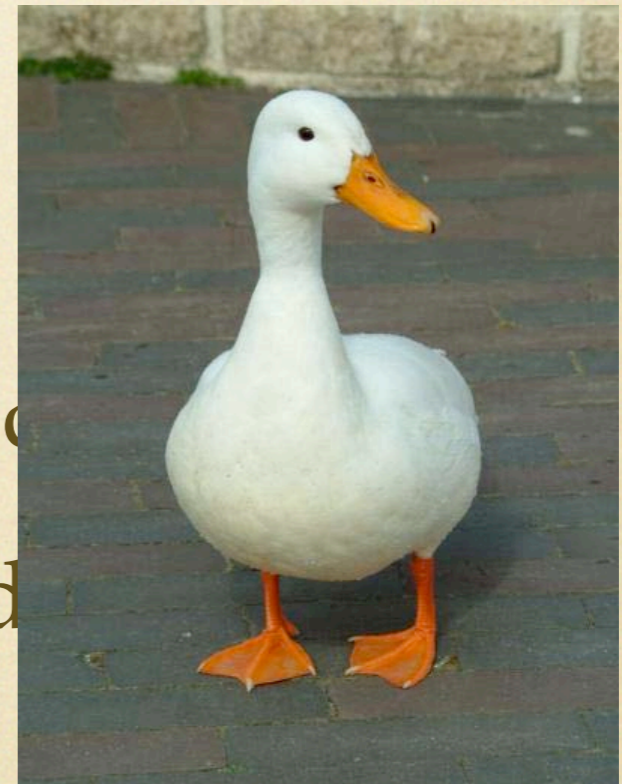
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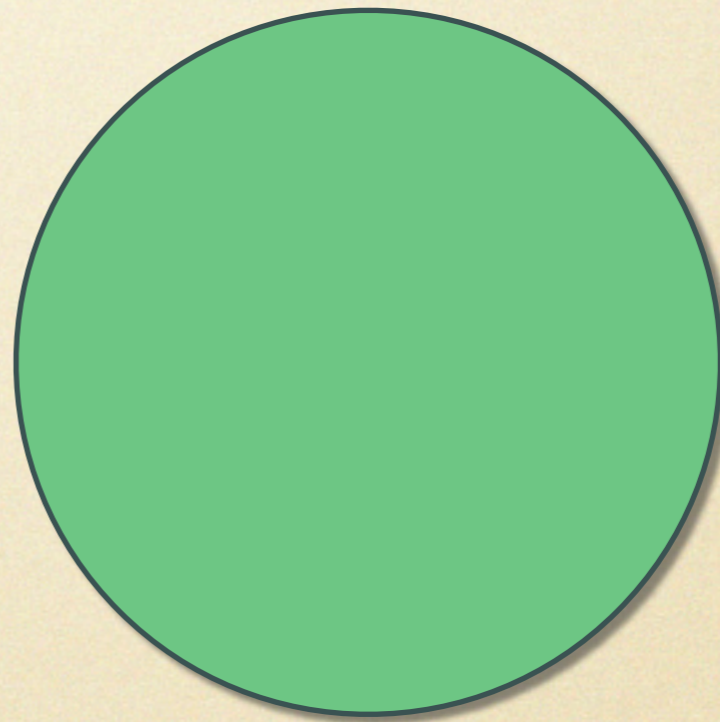


Why nominal  
subtyping?

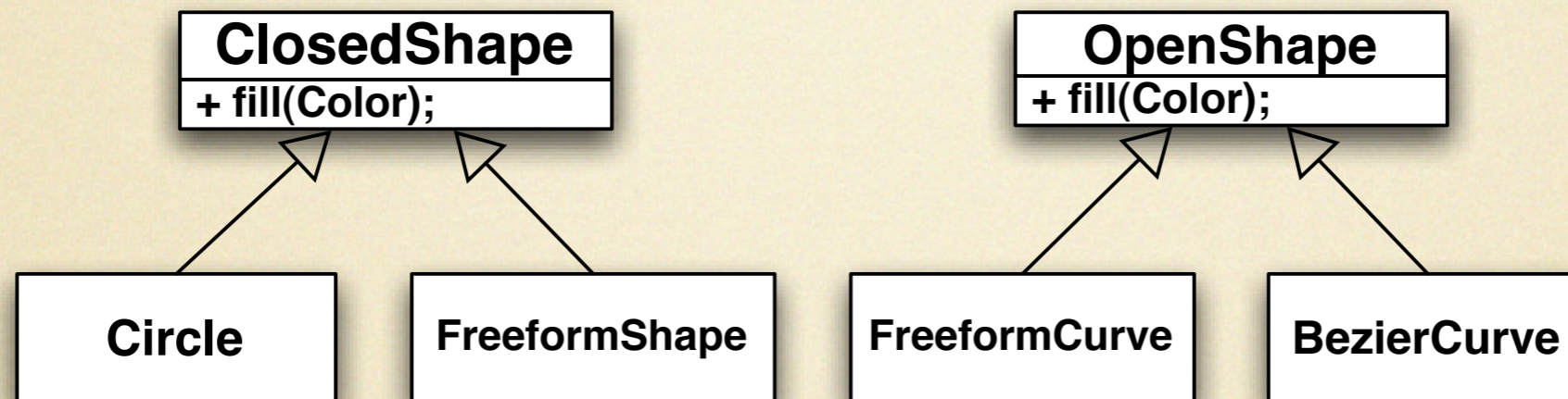


# Expressing intent

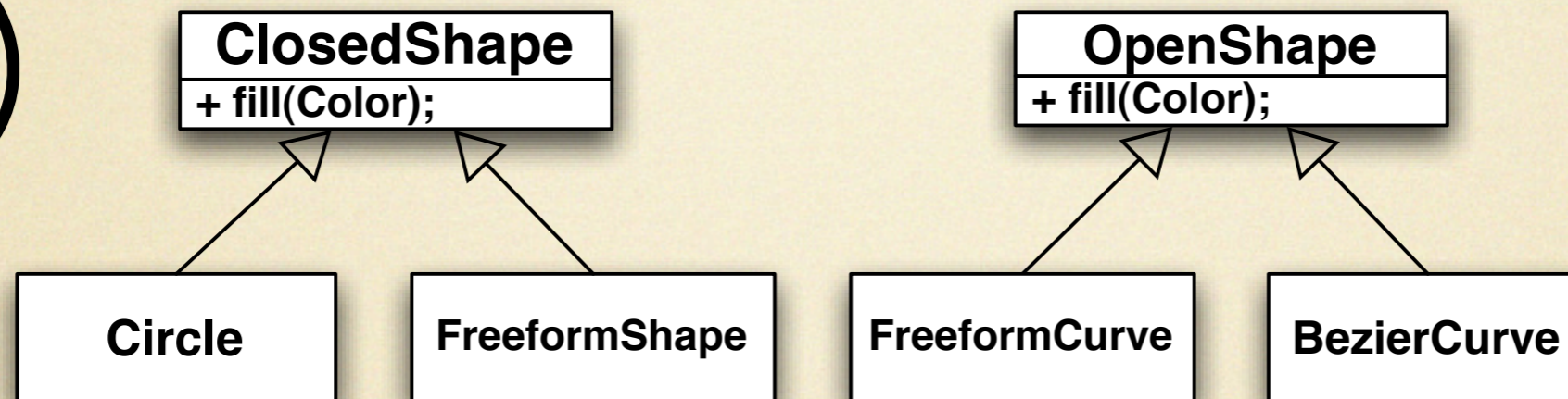
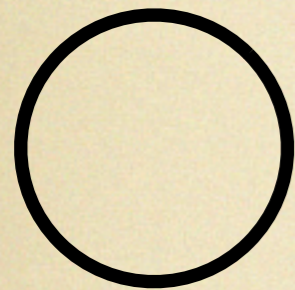
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# Nominal subtyping benefits

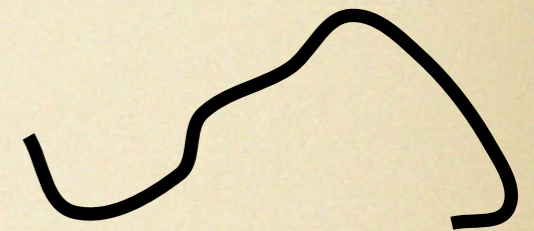
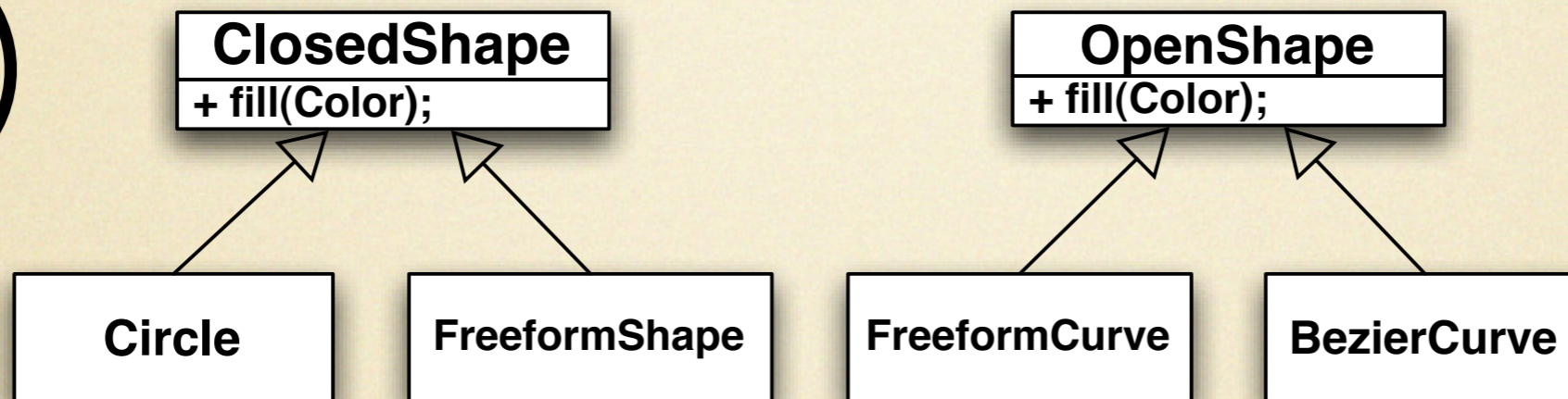
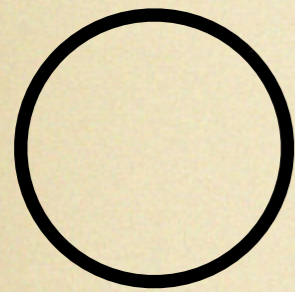


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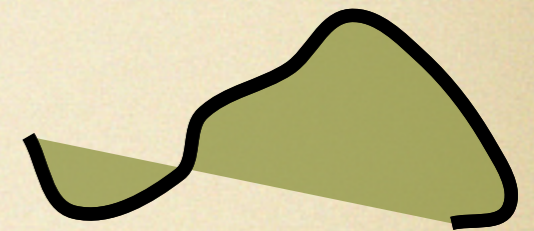
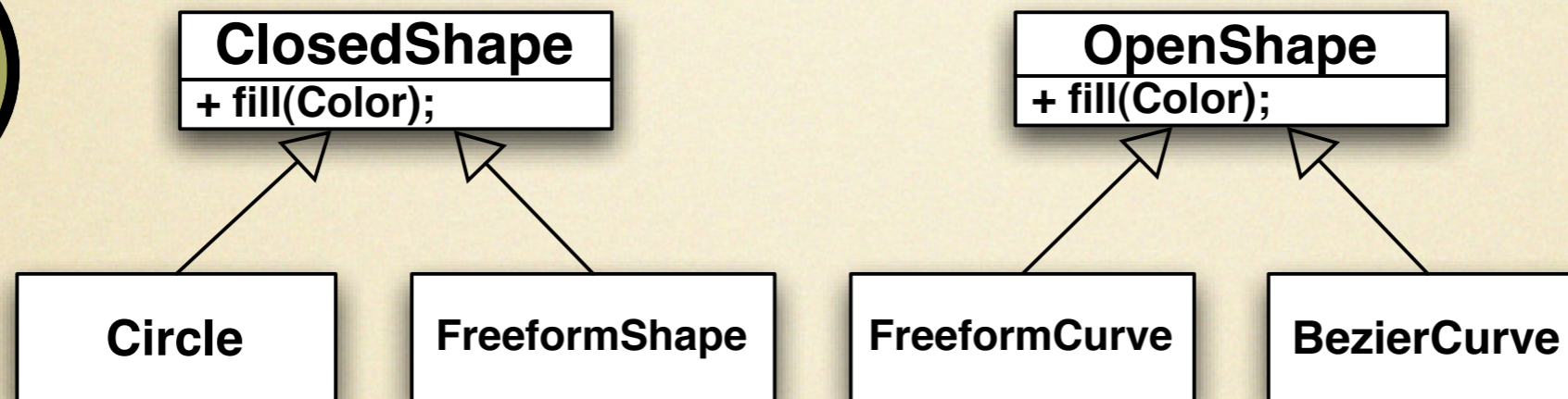
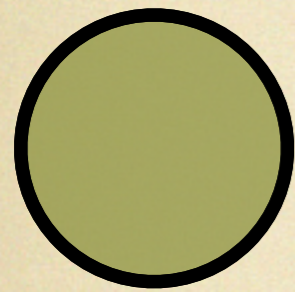




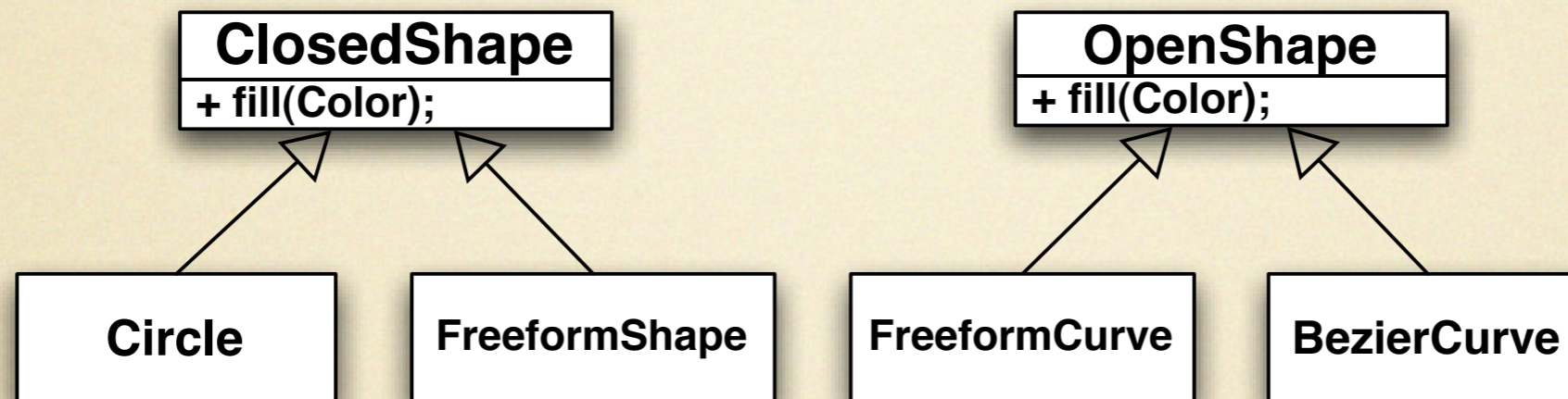
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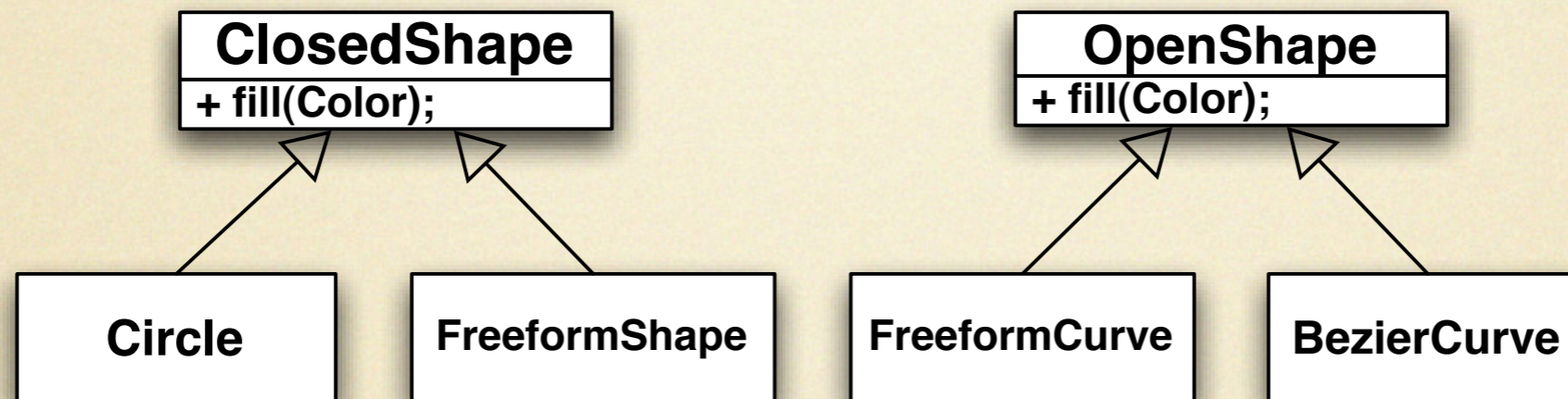


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- ClosedShape has the same interface as OpenShape, but we don't want them to be interchangeable

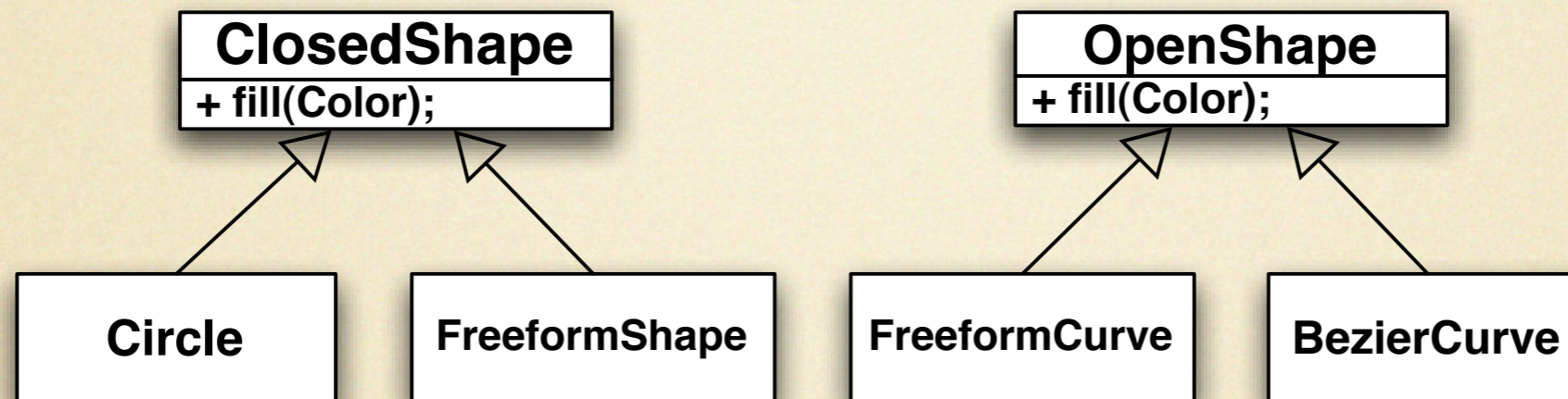
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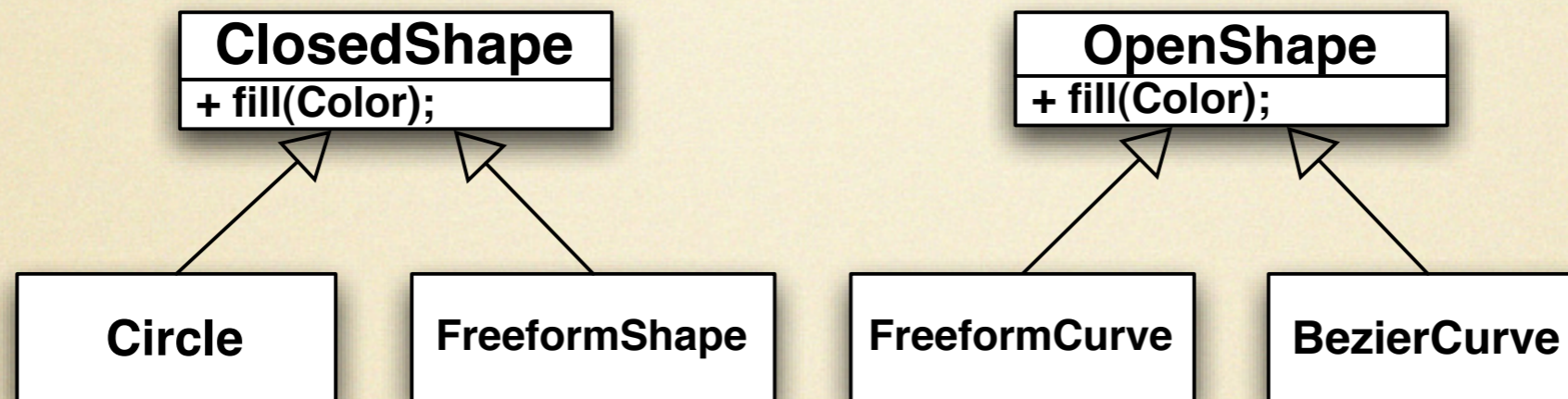


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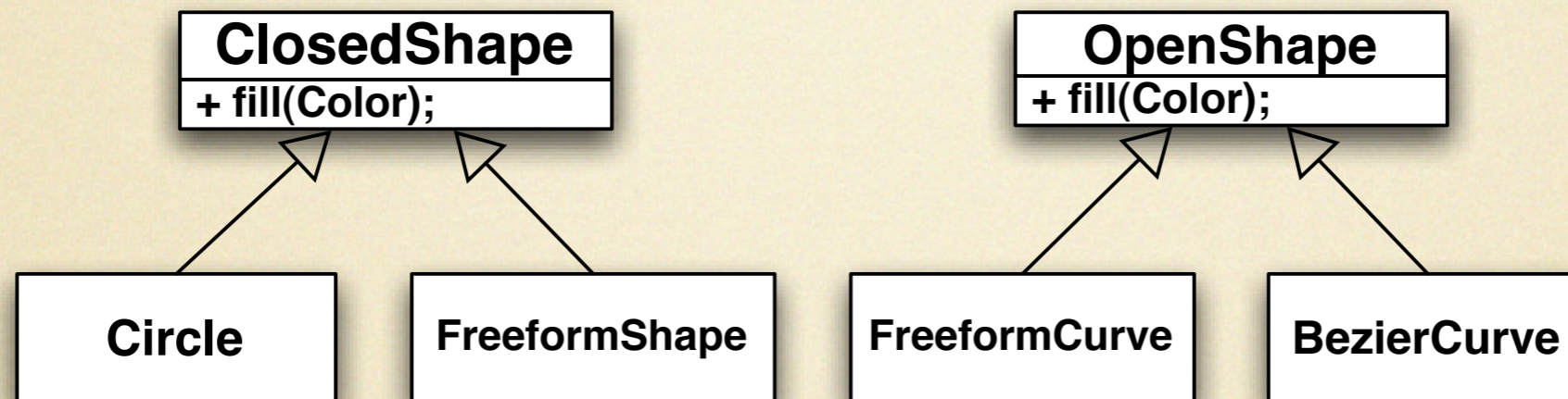


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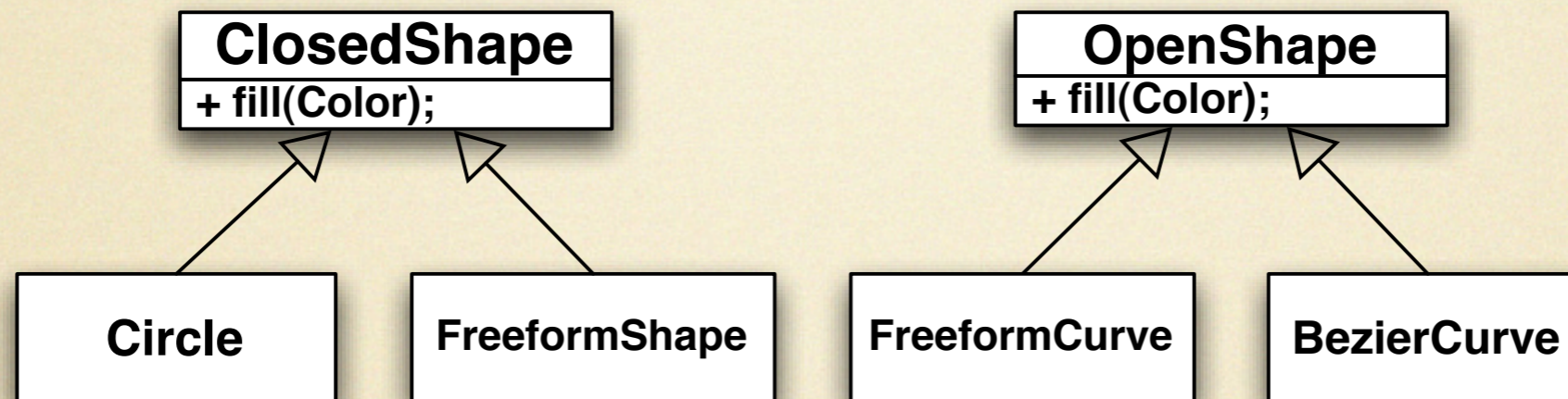
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  - More on this later
- Languages: Java, C#, C++, VB, Modula-3, etc.

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- Combines nominal and structural subtyping
- The *flexibility* and *composability* of structural subtyping
- Along with the *design intent* of nominal subtyping
- Types have *both* a nominal and structural component
- $A \leq B$  iff  
 $A \leq_{\text{nominal}} B$  and  $A \leq_{\text{structural}} B$

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# Adding methods to implement an interface

```
brand Circle extends ClosedShape  
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type EnhancedClosedShape =  
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- Want to add new method to Circle to make it implement EnhancedClosedShape
- But, can't change Circle directly
- Solution: structural subtyping & external methods



# Structural subtyping + external methods

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# Structural subtyping + external methods

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  ClosedShape(getArea():int)
```

- External methods let you add methods to a brand, outside its definition

# Structural subtyping + external methods

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brand Circle extends ClosedShape  
  (method fill() : unit = ...  
  ...  
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mask(EnhancedClosedShape s)  
  = ...  
myimage.mask(circle);
```

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  ClosedShape(getArea():int)
```

```
method Circle.getArea  
  = ...
```

typechecks!

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# External dispatch may cause ambiguity

- Non-example, structural dispatch:

```
type Foo = Object({foo:int})
```

```
type Bar = Object({bar:char})
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```
method Foo.m() : unit = ...
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- Because  $\{\text{foo:int, bar:char}\} \leq \text{Foo}$   
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type Foo = Object({foo:int})  
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- Ambiguous: what if  $m$ 's receiver has type  $\{foo:int, bar:char\}$ ?
- Because  $\{foo:int, bar:char\} \leq Foo$   
 $\{foo:int, bar:char\} \leq Bar$

# What are we dispatching on?

```
brand Circle extends ClosedShape  
  (method fill() : unit = ...  
   method scale(int) : unit = ...  
   method draw() : unit = ... )
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method Circle.getArea()  
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Nominal types

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```

- Dispatch on *nominal* types (i.e. brands)
- Another reason to combine structural and nominal subtyping: external dispatch depends on nominal types!

# External methods in Unity

- Conceptually part of an existing brand / class
- Performs dispatch on objects of that brand's type
- Dispatch: method is selected based on the runtime type of the object
- Doesn't have to be in the same compilation unit as the brand



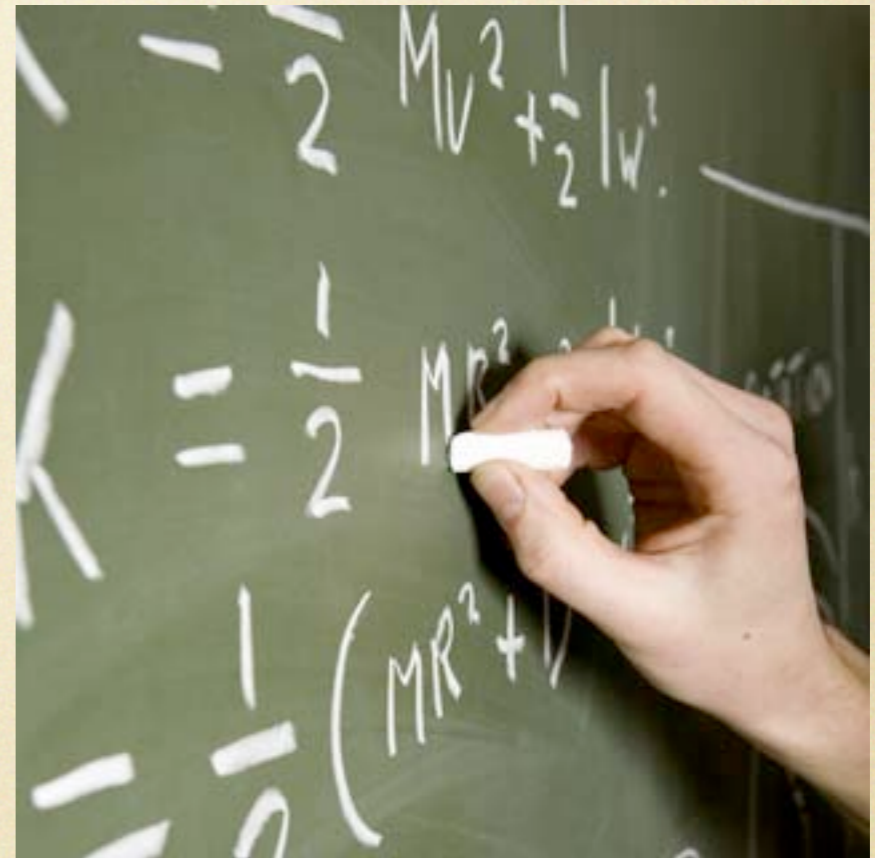
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# Unity benefits

- Makes it easier to maintain software, both in terms of *interfaces* and *code*
- Structural subtyping eases the task of *expressing an interface*
  - An interface is just a type and does not need to be declared in advance
- Nominal subtyping *captures intent*
- External dispatch eases the task of *conforming to an interface*

# Examples



# Eclipse JDT: example 1

- All of these classes have method `IBinding resolveBinding()`
- But there's no `HasBinding` interface with a `resolveBinding()` method
- Structural subtyping would solve this problem—just declare the interface after-the-fact

# Eclipse JDT: example 1

- All of these classes have method `IBinding resolveBinding()`
  - `ImportDeclaration`
  - `MemberRef`
  - `MethodRef`
  - `Name`
  - `AnnotationTypeDeclaration`
  - `AnonymousClassDeclaration`
  - `EnumDeclaration`
  - `Type`
  - ... plus 8 more*
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  - ... plus 8 more*
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# Eclipse JDT: example 2

- All of these classes have method `SimpleName getName()`

`AbstractTypeDeclaration`

`AnnotationTypeMemberDeclaration`

`EnumConstantDeclaration`

`FieldAccess`

`MemberRef`

`MemberValuePair`

`MethodDeclaration`

`MethodInvocation`

*... plus 8 more*

- But there's no `HasName` interface with a `getName()` method



# Displaying elements in a tree view: Java

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```
class MyLabelProvider extends LabelProvider
{
    String getText(Object element) {
        String label;
        if (element instanceof AbstractTypeDeclaration)
            label = ((AbstractTypeDeclaration) element).
                getName().toString();
        else if (element instanceof EnumConstantDeclaration)
            label = ((EnumConstantDeclaration) element).
                getName().toString();
        else if (element instanceof FieldAccess)
            label = ((FieldAccess) element).
                getName().toString();
        else if (element instanceof MemberRef)
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        ...
        return label;
    }
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                label = ((FieldAccess) element).
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            else if (element instanceof MemberRef)
                label = ((MemberRef) element).
                    getName().toString();
            ...
        return label;
    }
}
```

# Displaying elements in a tree view: Unity

```
brand MyLabelProvider extends LabelProvider (  
    method getText(element : Object(getName() : SimpleName)) : String =  
        element.getName().toString()  
}
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# Displaying elements in a tree view: Unity

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- Range from 164 to 24,500 methods in application
- Example: 5 iterator decorators in Apache Collections have methods `getIterator` and `setIterator`

# Summary of results

	<b>Total methods</b>	<b>%common methods</b>
<b>Tomcat</b>	<b>14678</b>	<b>28.4%</b>
<b>Ant</b>	<b>9178</b>	<b>28.1%</b>
<b>JHotDraw</b>	<b>5149</b>	<b>23.2%</b>
<b>Smack</b>	<b>3921</b>	<b>22.5%</b>
<b>Struts</b>	<b>3783</b>	<b>20.4%</b>
<b>Apache Forrest</b>	<b>164</b>	<b>17.1%</b>
<b>Cayenne</b>	<b>9243</b>	<b>16.7%</b>
<b>Log4j</b>	<b>1950</b>	<b>16.0%</b>
<b>OpenFire</b>	<b>8135</b>	<b>16.0%</b>
<b>Apache Collections</b>	<b>3762</b>	<b>15.5%</b>
<b>Derby</b>	<b>24521</b>	<b>14.6%</b>
<b>Lucene</b>	<b>2472</b>	<b>13.4%</b>
<b>jEdit</b>	<b>5845</b>	<b>12.0%</b>
<b>Apache HttpClient</b>	<b>1818</b>	<b>11.9%</b>
<b>Areca</b>	<b>3565</b>	<b>11.9%</b>

# Type soundness proof

$\Sigma \vdash \tau_1 \leq \tau_2$

$$\frac{}{\Sigma \vdash \tau \leq \tau}$$

$$\frac{\Sigma \vdash \tau_1 \leq \tau_2 \quad \Sigma \vdash \tau_2 \leq \tau_3}{\Sigma \vdash \tau_1 \leq \tau_3}$$

$$\frac{\Sigma \vdash \beta_1 \sqsubseteq \beta_2 \quad \Sigma \vdash M_1 \leq M_2 \quad \Sigma \vdash \beta_1(M_1) \text{ type} \quad \Sigma \vdash \beta_2(M_2) \text{ type}}{\Sigma \vdash \beta_1(M_1) \leq \beta_2(M_2)}$$

- **Proved the usual progress and preservation theorems**

$$\frac{\Sigma \vdash \sigma_1 \leq \tau_1 \quad \Sigma \vdash \tau_2 \leq \sigma_2}{\Sigma \vdash \tau_1 \rightarrow \tau_2 \leq \sigma_1 \rightarrow \sigma_2}$$

$$\frac{\Sigma \vdash \tau \leq \sigma_1 \quad \Sigma \vdash \tau \leq \sigma_2}{\Sigma \vdash \tau \leq \sigma_1 \wedge \sigma_2}$$

$$\frac{\Sigma \vdash \tau_1 \leq \tau_2 \quad \Sigma \vdash \tau_2 \leq \tau_3}{\Sigma \vdash \tau_1 \leq \tau_3}$$
- **Type safety implies that no method-not-found or method-ambiguous errors will occur during evaluation**

$$\frac{\{l_i : \tau_i^{i \in 1..n}\} \text{ is a permutation of } \{l_j : \tau_j^{j \in 1..n}\}}{\Sigma \vdash \{l_i : \tau_i^{i \in 1..n}\} \leq \{l_j : \tau_j^{j \in 1..n}\}}$$

$$\frac{\Sigma \vdash \tau_1 \wedge \tau_2 \leq \tau_3 \quad \Sigma \vdash \{l_i : \tau_i^{i \in 1..n}\} \leq \{l_j : \tau_j^{j \in 1..n}\}}{\Sigma \vdash \{l_i : \tau_i^{i \in 1..n}\} \leq \{l_j : \tau_j^{j \in 1..n}\}}$$

$$\frac{\Sigma \vdash \tau_i \leq \sigma_i \quad (i \in 1..n)}{\Sigma \vdash \{l_i : \tau_i^{i \in 1..n}\} \leq \{l_i : \sigma_i^{i \in 1..n}\}}$$

$$\frac{\Sigma \vdash \beta_1 \sqsubseteq \beta_2}{\Sigma \vdash \beta_1(M_1) \wedge \beta_2(M_2) \leq \beta_1(M_1 \wedge M_2)}$$

$$\frac{\Sigma \vdash \beta_1 \sqsubseteq \beta_2 \quad \Sigma \vdash M_2 \leq M_1 \quad \Sigma \vdash \sigma_1 \leq \sigma_2}{\Sigma \vdash \beta_1(M_1) \Rightarrow \sigma_1 \leq \beta_2(M_2) \Rightarrow \sigma_2}$$

$$\frac{\Sigma \vdash \{\overline{m} : \overline{\tau}\} \leq \{\overline{n} : \overline{\sigma}\}}{\Sigma \vdash \overline{m} : \overline{\tau} \leq \overline{n} : \overline{\sigma}}$$

# Selected Related Work

- Similar approaches after our initial proposal:
  - Scala [Odersky '07], Whiteoak [Gil and Maman '08]  
*not formalized*

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*not formalized*
- External methods: MultiJava [Clifton et al '00]
- Only structural *typing*, not subtyping: Modula-3

# Summary

- Unity combines structural and nominal subtyping
- Allows structural subtyping to co-exist with external dispatch
  - Each adds flexibility to the language
  - Combination is novel
- Evidence that existing programs could benefit